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| DOCKET NUMBER 2009-14 | : | OFFICE OF STATE ETHICS |
| IN THE MATTER OF A | : | 18-20 TRINITY STREET |
| COMPLAINT AGAINST | : | HARTFORD, CT 06106 |
| MARITIME PROFESSIONAL | : | |
| ADVISORS, LLC | : | FEBRUARY 20, 2009 |

STIPULATION AND CONSENT ORDER

Pursuant to Connecticut General Statutes §4-177(c), the Office of State Ethics ("OSE") and the Respondent agree to settle this matter in the manner described below:

1. Based upon the Ethics Enforcement Officer's investigation, the Ethics Enforcement Officer was prepared to establish at a probable cause hearing and at trial before the Citizen's Ethics Advisory Board that the Respondent violated the Code of Ethics for Lobbyists, Conn. Gen. Stat. § 1-91 et seq., as alleged in the Complaint, dated February 20, 2009.

2. The Respondent waives any rights it may have under General Statutes sections 1-80, 1-93, 1-93a, 1-98 and 1-99, including the right to a hearing or appeal in

this case, and agrees with the Ethics Enforcement Officer to an informal disposition of this matter.

3. The Respondent hereby waives all objections and defenses to the jurisdiction of the Ethics Enforcement Officer over matters addressed in this Stipulation and Consent Order.

4. The Respondent consents to jurisdiction and venue in the Connecticut Superior Court, Judicial District of Hartford, in the event that the State of Connecticut seeks to enforce this Stipulation and Consent Order. The Respondent recognizes that the Connecticut Superior Court has the authority to specifically enforce the provisions of this Stipulation and Consent Order, including the authority to award equitable relief.

5. The terms set forth herein are in addition to, and not in lieu of, any other existing or future statutory, regulatory, or other legal obligation that may be applicable to the Respondent.

6. The Respondent has been represented by legal counsel throughout the investigation of this matter, and throughout the negotiation of this Stipulation and Consent Order.

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ORDER

NOW THEREFORE, pursuant to General Statutes section 4-177(c), the Office of State Ethics hereby **ORDERS**, and the Respondent agrees to, the following orders in lieu of any other action regarding this matter:

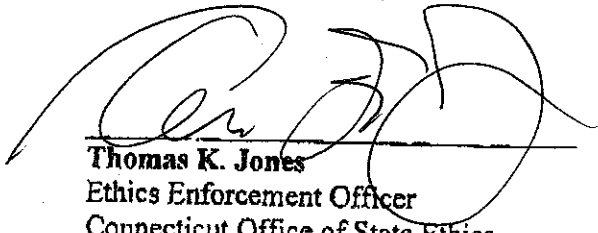
1. The Respondent agrees to pay a civil penalty of \$1,000.00, and henceforth comply with the Codes of Ethics.

WHEREFORE, the Ethics Enforcement Officer and the Respondent hereby execute this Stipulation and Consent Order dated February __, 2009


Dated: 2/24/09


Maritime Professional Advisors, LLC
Respondent For Maritime Professional Advisors, LLC
By: Salvatore Brancati

Dated: 2/25/09


Thomas K. Jones
Ethics Enforcement Officer
Connecticut Office of State Ethics
18-20 Trinity Street
Hartford, CT 06106
(860) 263-2390

Dated: 2/26/09


Robert Worgaftik
Chairman,
Citizen's Ethics Advisory Board
Office of State Ethics
18-20 Trinity Street
Hartford, CT 06106